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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**16819**

In Re Application Of: **Mamoru Okamoto et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/615,172</b>	<b>July 8, 2003</b>	<b>James A. Dudek</b>	<b>23389</b>	<b>2871</b>	<b>8615</b>

Title: **SEMI-TRANSMISSIVE-TYPE LIQUID CRYSTAL DISPLAY DEVICE AND METHOD FOR  
MANUFACTURING SAME**



Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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10/615,172	July 8, 2003	James A. Dudek	23389	2871	8615

**Title: SEMI-TRANSMISSIVE-TYPE LIQUID CRYSTAL DISPLAY DEVICE AND METHOD FOR MANUFACTURING SAME**

### Payment of Fee

**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**October 27, 2005**

(Date)

**Signature of Person Mailing Correspondence**

**Paul J. Esatto, Jr.**

***Typed or Printed Name of Person Mailing Certificate***

**\*This certificate may only be used if paying by deposit account.**

**Dated: October 27, 2005**

**Paul J. Esatto, Jr.**  
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**400 Garden City Plaza, Ste. 300**  
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**PJE:DAT:jam**

**CC:**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Mamoru Okamoto et al.      **Examiner:** James A. Dudek  
**Serial No.:** 10/615,172      **Art Unit:** 2871  
**Filed:** July 8, 2003      **Docket:** 16819  
**For:** SEMI-TRANSMISSIVE-TYPE  
LIQUID CRYSTAL DISPLAY  
DEVICE AND METHOD FOR  
MANUFACTURING SAME  
**Dated:** October 27, 2005  
**Conf. No.:** 8615

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

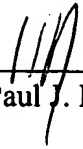
1. United States Patent No. 6,195,140 B1, issued to Kubo et al., dated February 27, 2001.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 27, 2005.

Dated: October 27, 2005

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

The reference was cited in an Official Action dated September 9, 2005 received from the Korean Intellectual Property Office (KIPO). A copy of the Korean Official Action is enclosed together with a translation of the Korean Official Action into Japanese. An English translation of the Examiner's comments regarding the reference is also enclosed. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit a copy of the above-cited U.S. Patent reference. Please note the other reference cited in the Office Action, namely, Japanese Laid-Open Patent Application No. H13-221995, dated August 17, 2001, was previously submitted in Applicants' Information Disclosure Statement dated September 10, 2003. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an Official Action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

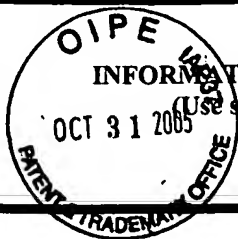
Respectfully submitted,



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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (Optional)

16819

Application Number

10/615,172

Applicant(s)

Mamoru Okamoto et al.

Filing Date

July 8, 2003

Group Art Unit

2871

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,195,140 B1	2/27/2001	Kubo et al.			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.